

ANNUAL CONFLICT OF INTEREST REPORT

January 1, 2021 – December 31, 2021

Montana Technological University

This report is submitted in compliance with BOR Policy 770.

Montana Tech has 381 employees who were required to submit conflict of interest (COI) disclosures. As of 12/27/2021, over 93% of these employees had submitted their COI disclosure forms. Two employees with a missing form has since retired. Forms from 6 additional employees, who started subsequent to the listing date or who worked less than half time have also been received, reviewed and included in the tallies below.

A. Number of conflicts disclosed:

1. 4 employees reported a potential conflict of interest not previously disclosed.
2. 39 employees reported a potential conflict of interest which had been duly disclosed previously and there had been no change which required an updated disclosure.
3. The remaining employees required to report reported no relationships or financial interests that are or might reasonably be perceived to be in conflict with their duties and responsibilities at Montana Tech.

B. Summary of nature of conflicts:

1. Potential conflicts because of a relative's employment.
2. Potential conflicts relating to consulting or other outside work.
3. Potential conflicts related to serving on outside committees
4. Potential conflicts related to ownership of stock, or financial interest in outside Companies

C. Number of conflicts being managed through written plans:

Montana Tech is managing conflicts for 31 employees through written conflict management plans to be sent out in January 2022.

After review, the office for Vice Chancellor of Research will provide written responses to all.

potential conflict disclosures, concluding either (1) that there was no potential for conflict, (2) that the potential conflict was so remote that no action was required, or (3) that a conflict management plan was required.

D. Number of conflicts eliminated:

In 2021, Montana Tech did not formally require any employee to eliminate a potential conflict.

