

MONTANA TECHNOLOGICAL UNIVERSITY
Conflict of Interest Disclosure Statement and Certification

Complete the following form for automatic submission to the Vice Chancellor for Research. **This form should be completed on or before September 30th annually. For questions concerning the information required by this form, contact the Office of Research.**

Name: Dept:

Position: Phone #:

Certification

By signing below you are certifying:

1. You have read and understand Montana Tech's "[Conflict of Interest and Financial Disclosure](#)" policy, as revised 01/08/2021.
2. You either (check one box below):
 - Have no relationships or financial interests that are or could be perceived to be in conflict with your duties and responsibilities to Montana Tech in sponsored research, in professional activities, or in family relationships (nepotism).
 - Have potential conflicts of interest as described in the statement below.

Disclosure Statement

I am disclosing the following significant financial interests or relationships (**check all applicable interests and relationships**), and I **attach supporting documentation that identifies the person, business, enterprise, or entity involved and the nature and amount of the interest and/or relationship.**

- Salary or other payment for services (e.g., consulting fees or honoraria) from any business entity that exceeded \$5,000 during the past 12 months.
- Equity interests (e.g., stocks, stock options, or other ownership interests) in any publicly traded entity valued in excess of \$5,000 or greater than 5% ownership, or a combination of stock and income from that entity that exceeds \$5,000/year. Any ownership interest in a non-publicly-traded entity (such as a start-up company), regardless of its value.
- Any relationship with an entity that would be affected by the employee's research, or could be directly affected by a decision the employee participates in at Montana Tech or involving Montana Tech funds.

- Income from intellectual property rights (e.g., patents, copyrights, and royalties) paid by any source other than Montana Tech.
- Any travel which is paid for or reimbursed by another organization and which is related to my Institutional Responsibilities; provided however, that the disclosure requirement does not apply to travel that is reimbursed by a Federal, State, or local government agency, or an institution of higher education.
- Service as an advisor, consultant, or in another capacity with a public or private agency that grants money to Montana Tech or decides policy for grants that could materially affect Montana Tech's eligibility for funds from that agency.
- Management or consulting position, board membership, or role as agent or representative of or participant in the day-to-day operations of a commercial enterprise active in field(s) related to the employee's Montana Tech responsibilities.
- Supervision and/or authority to influence the hiring, salary, promotion, retention, or tenure or other employment benefits of an immediate family member or a close business associate or employee of an entity in which the employee or family member has an ownership interest.
- Any relationship of yours or a family member's with an entity that is or could become a vendor or supplier to Montana Tech.
- Other: **List any other potential conflicts and outline them in the document submitted with this form.**

Further I agree:

- To update this disclosure on an annual basis and any time new reportable significant financial interests are obtained.
- To cooperate in the development of a Conflict Management Plan, if determined necessary by the University.
- Meet privately with the Research Office if applying for or receiving funding from the Public Health System (e.g. NIH) and comply with additional requirements mandated by PHS.
- To comply with any conditions or restrictions imposed by the Montana Tech to manage, reduce, or eliminate actual or potential conflicts of interest or forfeit the award, if applicable.

Signature: Date:
 Employee

Signature: Date:
 Vice Chancellor for Research